PTO/SB/21 (AW)(03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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	TRANSMITTAL FORM (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission 126	Application Number	10/017,965			
		Filing Date	December 7, 2001  M. Oshima et al.			
		First Named Inventor	M. Oshima et al. RECEIVED			
		Art Unit	2134 MAY 2 3 2003			
		Examiner Name	P. E. Callahan			
		Attorney Docket No.	MTS-520US4 Technology Center 210			
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Total Number of F	ages III Triis Gubriission	120		Attorney Docket No.	MTS	-520US <sup>4</sup>	,				
ENCLOSURES (Check all that apply)											
Fee Transmittal Fo	m		Drawi				After Allowance Communication to Group				
Amendment/Reply After Final Affidavits/De  Extension of Time  Express Abandonn	daration(s)		Provis Powe Chang Addre	tion to Convert to a visional Application ver of Attorney, Revocation, ringe of Correspondence		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter					
DISCLOSURE STA	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  Certified Copy of Priority Document(s)		Request for Refund  CD, Number of CD(s)			Other Enclosure(s) (please identify below):  Form 1449, European Office n and 9 references					
Response to Missin Incomplete Applica Response to 37 CFR 1.52	Remark	<u>ks</u> :									
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT											
Firm or Individual Signature  Date May 23, 2603											
CERTIFICATE OF TRANSMISSION / MAILING											
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:											
Name (Print/Type)			Registration No. (Attorney/			(gent)					
Signature					· · ·	Date					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

MTS-520US4

PATENT

# 24

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/017,965

Applicant:

M. Oshima et al. December 7, 2001

Filed: Title:

MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL

DISK AND METHOD OF PRODUCING OPTICAL DISK

TC/A.U.:

2134

Confirmation:

8891

Examiner:

P. E. Callahan

RECEIVED

MAY 2 3 2003

Technology Center 2100

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed, invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese reference is not in the English language:

1) 04-338872

07/02/2003 VJONES2 00000010 10017965

01 FC:1806

Reference 1) includes an English language abstract.

More than three months have elapsed since the filing of the abovereferenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, the required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

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The enclosed European Office Action also cites NL-A-9101358, which was already cited in the original prosecution.

## **STATEMENT**

The undersigned hereby states that Reference EP 0549488 disclosed in this Supplemental Information Disclosure Statement was first cited in any communication (i.e., European Office Action) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Respectfully submitted,

Anan Ratner Reg. No. 19,717 Attorney for Applicants

AR/fp

Enclosures:

PTO Form 1449

Copies of (#9) References Copy of European Office Action

Check

Dated:

May 23, 2003

P.O. Box 980

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